

NON-PROVISIONAL  
UTILITY PATENT APPLICATION  
TRANSMITTAL - 37 CFR 1.53(b)

☐ Duplicate  
(check, if applicable)

17236 U.S. PTO  
020604

MAIL STOP PATENT APPLICATION Attorney Docket No.: 8182-27US (PA33013US-SK089)  
Commissioner for Patents First Named Inventor: Bernhard KEPPLER  
P.O. Box 1450 Express Mail Label No.: EV312204463US  
Alexandria, VA 22313-1450 Total Pages of Transmittal Form: 3

Transmitted herewith for filing is the non-provisional utility patent application entitled:

**CYTOSTATIC CERIUM COMPOUNDS**

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)  
of prior International Application No. PCT/EP02/08766 filed August 6, 2002.

Anticipated Group/Art Unit: or Class, Subclass.

☐ This non-provisional patent application is based on Provisional Patent Application No.,  
filed.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 16 pages.
- ☐ sheets of drawings (formal).
- ☐ Application Data Sheet.
- ☒ Newly unexecuted Declaration (original).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☐ Microfiche computer program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence Submission, including:
  - ☐ Computer readable copy ☐ Paper Copy ☐ Verified Statement.
- ☐ Under PTO-1595 Cover Sheet, an assignment of the invention
- ☒ Name of Assignee: **Faustus Forschungs Cie. Translational Cancer Research GmbH**
- ☐ Certified copy(ies) of Application No(s). filed is/are filed:
  - ☐ herewith or ☐ in prior application .

17497 U.S. PTO  
10/773928  
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- ☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern, or ☐ a Non-Profit Organization.
- ☐ Preliminary Amendment.
- ☒ Information Disclosure Statement, PTO/SB/08A, and cited references.
- ☐ Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- ☐ Other:

The filing fee is calculated as follows:

|  |           |           | SMALL ENTITY |           |    | LARGE ENTITY |                  |
|--|-----------|-----------|--------------|-----------|----|--------------|------------------|
| CLAIMS   | NO. FILED | NO. EXTRA | BASIC FEE:   |           |    | BASIC FEE:   |                  |
|  |           |           | \$385        |           |    | \$770        |                  |
| Total  | 12-20 =   | 0         | X9           | \$        | OR | X18          | \$               |
| Independent  | 3- 3 =    | 0         | X43          | \$        | OR | X86          | \$               |
| <input type="checkbox"/> Multiple Dependent Claims Present |           |           | \$145        | \$        | OR | \$290        | \$               |
|  |           |           | <b>TOTAL</b> | <b>\$</b> | OR | <b>TOTAL</b> | <b>\$ 770.00</b> |

- ☐ The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts
- ☒ A check in the amount of \$770.00 to cover the filing is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 208182.0027)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$\_\_\_\_\_ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

**CORRESPONDENCE ADDRESS**

February 6, 2004 By: William W. Schwarze  
(Date)  
**WILLIAM W. SCHWARZE**  
Registration No. 25,918  
**AKIN GUMP STRAUSS HAUER & FELD LLP**  
One Commerce Square  
2005 Market Street, Suite 2200  
Philadelphia, PA 19103-7013  
Telephone: 215-965-1200  
**Direct Dial: 215-965-1270**  
Facsimile: 215-965-1210  
E-Mail: wschwarze@akingump.com

☒ Customer Number or Bar Code Label: **000570**

WWS:sm  
Enclosures